

10/02/01
JC678 U.S. PTO

01-01-002

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PTO/SB/05 (08-00)

Approved for use through 10/31/2002. OMB 0651-0032

Patent and Trademark Office: U.S. DEPARTMENT OF COMMERCE

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UTILITY PATENT APPLICATION TRANSMITTAL

(Only for new nonprovisional applications under 37 CFR 1.53(b))

Attorney Docket No. B00-001-2

J1011 U.S. PTO
10/038135

First Named Inventor or Application Identifier Ames et al.

Title Primary N-Hydroxylamines

EL071089139US

Express Mail Label No. EL071089139US

ADDRESS TO: **Commissioner for Patents**
Box Patent Application
Washington, D. C. 20231

APPLICATION ELEMENTS

See MPEP chapter 600 concerning utility patent application contents.

1. *Fee Transmittal Form
(Submit an original, and a duplicate for fee processing)
2. Applicant claims small entity status (see 37 CFR 1.27)
3. Specification (Total Pages 61)
(preferred arrangement set forth below)
 - Descriptive Title of the Invention
 - Cross References to Related Applications
 - Statement Regarding Fed sponsored R & D
 - Reference to sequence listing, a table, or a computer program listing appendix
 - Background of the Invention
 - Brief Summary of the Invention
 - Brief Description of the Drawings (if filed)
 - Detailed Description
 - Claims
 - Abstract of the Disclosure
4. Drawings(s) (35 USC 113) (Total Sheets)
5. Oath or Declaration (Total Pages 1)
 - a. Newly Executed (Original or Copy)
 - b. Copy from a Prior Application (37 CFR 1.63(d))
(for Continuation/Divisional with Box 17 completed)
 - i. DELETION OF INVENTOR(S) Signed statement attached deleting inventor(s) named in the prior application, see 37 CFR 1.63(d)(2) and 1.33(b).
6. Application Data Sheet. See 37 CFR 1.76
7. CD-ROM or CD-R in duplicate, large table or Computer Program (Appendix)
8. Nucleotide and/or Amino Acid Sequence Submission

(if applicable, all necessary)

- a. Computer Readable Form (CRF)
- b. Specification Sequence Listing on: i. CD-ROM or CD-R (2 copies); or ii. paper
- c. Statement verifying identity of above copies
- d. Request to use CRF from another application

ACCOMPANYING APPLICATION PARTS

- 9. Assignment Papers (cover sheet & documents(s))
- 10. 37 CFR 3.73(b) Statement (where there is an assignee)
 - Power of Attorney
- 11. English Translation Document (if applicable)
- 12. a. Information Disclosure Statement (IDS)/PTO-1449
 - b. Copies of IDS Citations
- 13. Preliminary Amendment
- 14. Return Receipt Postcard (MPEP 503) (Should be specifically itemized)
- 15. Certified Copy of Priority Document(s) (if foreign priority is claimed)
- 16. Other: _____

17. Priority

This application claims priority to prior application No: 09/429,412, filed 10/28/99, having the same title and inventors _____

Prior application information: Examiner Jones, D. Group Art Unit 1614
The entire disclosure of the prior application, from which an oath or declaration is supplied under 5b, is considered a part of the disclosure of the accompanying application and is hereby incorporated by reference. The incorporation can only be relied upon when a portion has been inadvertently omitted from the submitted application parts.

18. Correspondence Address

Customer Number or Bar Code Label 
23379
(Insert Customer No. or Attach Bar Code Label here)

or

Correspondence Address Below

NAME Richard Aron Osman
SCIENCE & TECHNOLOGY LAW GROUP

ADDRESS 75 Denise Drive

CITY Hillsborough STATE California ZIP CODE 94010

Country U.S.A. TELEPHONE (650) 343-4341 FAX (650) 343-4342

Name: Richard Aron Osman Registration No: 36,627

Signature: Keller Date: October 19, 2001

FEE TRANSMITTAL FOR FY 2002

Fees are subject to annual revision.

Complete if Known:

Complete if Known: Application No. Not yet assigned

Filing Date Herewith

First Named Inventor Ames et al.

Group Art Unit Not yet assigned

Examiner Name Not yet assigned

Attorney Docket No. B00-001-2

METHOD OF PAYMENT (check one)

1. [X] The Commissioner is hereby authorized to charge indicated fees to:

Charge Any Additional Fee Required Under 37 CFR 1.16 and 1.17 and credit any over payments to:

Applicant claims small entity status. See 37 CFR 1.27

Deposit Account Number 19-0750

Deposit Account Name Science & Technology Law Group

2. **Payment Enclosed**
 Check
 Money Order
 Other

FEE CALCULATION

1. BASIC FILING FEE

Large Entity	Small Entity				
Fee	Fee	Fee	Fee		
Code	(\$)	Code	(\$)	Fee Description	Fee Paid
101	740	201	370	Utility application filing fee	370.
106	330	206	165	Design application filing fee	
107	510	207	255	Plant filing fee	
108	740	208	370	Reissue filing fee	
114	160	214	80	Provisional application filing fee	
SUBTOTAL (1)				\$ 370.00	

2. CLAIMS

	<u>Extra</u>	<u>below</u>	<u>Fee Paid</u>
Total Claims <u>62</u>	<u>- 20 =</u>	<u>42</u>	<u>X 9.</u> <u>= 378.</u>
Independent Claims <u>3</u>	<u>- 3 =</u>	<u>0</u>	<u>X _____ = 0</u>
Multiple Dependent Claims		<u>0</u>	<u>X _____ = 0</u>

<u>Large Entity</u>		<u>Small Entity</u>		
Fee Code	Fee (\$)	Fee Code	Fee (\$)	<u>Fee Description</u>
103	18	203	9	Claims in excess of twenty
102	84	202	42	Independent claims in excess of 3
104	280	204	140	Multiple dependent claim
109	84	209	42	Reissue independent claims over original patent
110	18	210	9	Reissue claims in excess of 20 and over original patent
				Fee Paid
				378.
				0
				0
				0
				0

FEE CALCULATION (continu d)

3. ADDITIONAL FEES

<u>Large Entity</u>	<u>Small Entity</u>			<u>Fee Paid</u>
<u>Fee Code</u>	<u>Fee Code</u>	<u>Fee (\$)</u>	<u>Fee (\$)</u>	
105	205	130	65	Surcharge - late filing fee or oath
127	227	50	25	Surcharge - late provisional filing fee or cover sheet
139	139	130		Non-English specification
147	147	2,520	2,520	For filing a request for reexamination
112	112	920*	920*	Requesting publication of SIR prior to Examiner action
113	113	1,840*	1,840*	Requesting publication of SIR after Examiner action
115	215	110	55	Extension for response within first month
116	216	400	200	Extension for response within second month
117	217	920	460	Extension for response within third month
118	218	1,440	720	Extension for response within fourth month
128	228	1,960	980	Extension for response within fifth month
119	219	320	160	Notice of Appeal
120	220	320	160	Filing a brief in support of an appeal
121	221	280	140	Request for oral hearing
138	138	1,510	1,510	Petition to institute a public use proceeding
140	240	110	55	Petition to revive unavoidably abandoned application
141	241	1,280	640	Petition to revive unintentionally abandoned application
142	242	1,280	640	Utility issue fee (or reissue)
143	243	460	230	Design issue fee
144	244	620	310	Plant issue fee
122	122	130		Petitions to the Commissioner
123	123	50		Petitions related to provisional applications
126	126	180		Submission of Information Disclosure Stmt
581	581	40		Recording each patent assignment per property (times number of properties)
146	246	710	355	For filing a submission after final rejection (see 37 CFR 1.129(a))
149	249	710	355	For each additional invention to be examined (see 37 CFR 1.129(a))

Other fee (specify) _____

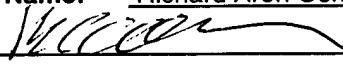
Other fee (specify) _____

SUBTOTAL (3) \$ 40.00

*Reduced by Basic Filing Fee Paid

SUBMITTED BY:

Typed or Printed Name: Richard Aron Osman

Signature 

Date October 19, 2001

Reg. Number 36,627

Deposit Account User ID

(complete if applicable)